

Not for Use

Request for Background Check for Security Clearance under Article 7 of the German

# **Aviation Security Act**

(Information for the applicant can be found on the reverse)

First background check Renewed check										
DFS Deutsche Flugsicherung GmbH Location						Processing remarks (only to be made by DFS)				
Please enter the relevant organisational unit or the proce Street Postal code, town					irement group here:			Personnel no.: (assigned by DFS)		
			l velevent fielde in le	aible ble ek lette						
Surname	please comp	nete al	l relevant fields in le	Name at birth			Previous name, if applicable			
All first and mid passport)	dle names (as a	ppears	on your identity card or	Sex: male			Se	ex: female		
Date of birth				Place of birth			Co	puntry of birth		
Nationality				In the case of several, please state other nationalities						
Current address – only <u>main residence</u> – (street, house number, postal code, town)										
Job title										
Brief job descrip	tion									
Home telephone number Work telephone number				Passport number for non-German citizens; German citizens can either number of their passport or identity card. (Please attach a <u>copy</u> of your passport or identity card)						
Work history including periods of non-employment within the last 5 years; if needed attach an extra sheet of paper.  * Type of employment and name of employer or the reason for non-employment:										
from:	until:	*								
from:	until:	*								
from:	until:	*								
from: until: * Places of residence over the past 10 years (street, house number, postal code, town, country) Period of time from - to (month/year). If needed, attach an extra sheet										
of paper.										
from:	until:	in:							_	
from: from:	until: until:	in: in:							_	
from:	until:	in:								
Applicant's statement in connection with the request for a background check for security clearance         I hereby agree to the following:         - I shall be subject to a background check under Article 7 of the German Aviation Security Act by the competent Aviation Security Authority of Hessen (police headquarters in Frankfurt am Main)         - My personal data disclosed for this background check (and for this purpose only) shall be passed on to the competent Aviation Security Authority and other police and security authorities for inspection purposes.         - The police and security authorities shall disclose security-related background information to the competent Aviation Security Authority.         - Investigation records and court files shall be submitted to the competent Aviation Security Authority for inspection purposes.         I hereby acknowledge and agree to have my aforementioned personal data as well as the results of the background check saved in the files of the Aviation Security Authority.										
I certify that the above information is correct and complete and has been provided to the best of my knowledge and belief.										
(Place and d	ate)					(Signature	of app	blicant)		
Applications should not be submitted twice as this leads to additional costs which must then be borne by the person submitting the application.						DFS: Before passing this on to third parties, please enter				
External <u>contractor:</u> This is to cer- tify that the applicant is employed by us and that we shall bear the costs of the back- ground						procurement group. Upon return, please confirm that this was pre-checked by signing below. If the party responsible for payment is different than the one at the left, then please enter the complete address for invoicing here:				
check:						Procurement group r ber	num-	Checked by/ processed by (name) Initials		

Legible company stamp with complete address and signature (name again in legible block letters)



## Note:

Only complete the fields which are relevant to you. In addition, do not cross out or supplement any parts of the text in the section beginning "Applicant's statement in connection with the request..."as this will render the application void. As a result, it will not be possible to perform the background check. If any personal data has already been filled in, we ask you to check this information and make any necessary changes.

## Information for the applicant

### Article 7, para 1, German Air Security Act (background check for security clearance)

To prevent terrorist attacks in aviation (Article 1), the Aviation Security Authority shall determine the suitability of the following personnel:

- 1. ...
- 2. Personnel working for aerodrome operators, air transport companies, air navigation service providers as well as cargo, postal, cleaning companies and suppliers of goods and other similar companies that have direct influence on the security of air traffic. These regulations also apply to any personnel sourced from other companies.
- 3. ...
- 4. ...
- 5. ...

## Article 7, para 2, sentence 1, German Air Security Act

The background check shall be conducted at the request of the applicant.

## Article 7, para 3, German Air Security Act

For the purpose of checking the suitability of the applicant, the Aviation Security Authority may:

- 1. Check the identity of the applicant.
- 2. Make enquiries for information pertaining to applicant's suitability by contacting the law enforcement agencies and authorities ensuring the protection of the Constitution of the Federal States in Germany.
- If necessary, enquiries shall also be made to the Federal Criminal Police Office, Central Office of the German Customs Investigation Service, Federal Office for the Protection of the Constitution, German Federal Intelligence Service and
- Military Counter-Intelligence Service and the Federal Commissioner for the files of the
  - State Security Service of the former German Democratic Republic.
- 3. Obtain information from the Federal Central Criminal Register without any restrictions.
- 4. In the case of foreign applicants, obtain information from the Central Register for Foreign Residents
- and make enquiries to the appropriate Foreigners Authority about any indications of threats to public safety by the applicant. 5. Make enquiries, if necessary, to aerodrome operators and air transport companies as well as to the applicant's current employer
- to obtain information relevant for determining the suitability of the applicant.

## The applicant shall provide any assistance required to conduct the background check.

### Article 7, para 4, German Air Security Act

Should the information obtained from the authorities named in para 3, no. 2 and 4 give reason to doubt the suitability of the applicant, the Aviation Security Authority may obtain information from further law enforcement agencies.

### Article 7, para 7, German Air Security Act

The information obtained under para 3 and 4, may only be used by the Aviation Security Authority to conduct a background check for security clearance. The result of the background check will be passed on to the applicant, his/her current employer, the aerodrome operator, airline or air navigation service provider as well as the police enforcement agencies and authorities ensuring the protection of the Constitution of the Federal States in Germany. The findings of the background check upon which the result is based may not be passed on to the current employer. Any additional information may be passed on to the current employer if it is required in connection with legal proceedings resulting from the background check for security clearance. Article 161 of the rules of criminal procedure (StPO) are nevertheless applicable.

### Article 10 Aviation Security Act (access authorisation)

A badge to prove that the holder has been granted access authorisation will be issued upon completion of the background check under article 7, para 6. The badge holder shall wear the badge visibly in all security areas which are not generally accessible and return it after it is no longer valid or upon request. Badge holders are not permitted to loan their cards to third parties. If the badge is lost, this must be reported to the issuing office immediately. Access to security areas which are not generally accessible is not permitted without authorisation.

### Article 18 Aviation Security Act (administrative offences with associated fines)

(1) An individual shall be deemed to be in breach of the regulations if he/she deliberately or negligently

- 1. Makes false statements in the application contrary to article 7, para 5, sentence 3.
  - 2. ...
  - 3. ...

4. Contrary to article 10, sentences 2 to 4, does not wear the badge visibly in all security areas which are not generally accessible,

loans his/her badge to third parties, does not return the badge or does not return it on time to the issuing office, does not report the loss of the

badge or does not report it in a timely manner.

5. Obtains unauthorised access to security areas which are not generally accessible for himself/herself or a third party contrary to article 10, sentence 5

or

6. ...

(2) In the case of a violation of regulations under para 1, no 1 to 5, a fine may be levied up to €10,000.

In the case of a violation of regulations under para 1, item 6 a fine may be levied up to €50,000. The administrative authority for the laws covering violations of article 36, para 1, no 1 is the Aviation Security Authority.